Alien Files Boot Camp

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Alien Files (A-Files) were created to streamline record-keeping within the INS. Since 1 April 1944, an individual A-File would hold all of the records related to the immigration and naturalization status of an alien with an active case. Prior to this point, it could have been that there were several files (perhaps in several places and accessed via several different indexes) all related to the same individual – a visa file, an AR-2, and a C-File, for instance. The INS began issuing non-citizens an A-number under the Alien Registration Program in 1940. These A-numbers would be the basis to create these individual A-Files.

An A-File should have been opened or consolidated for:

- All immigrants who arrived after 1 April 1944
- Immigrants who naturalized on/after 1 April 1956 (this is when the C-File series closed)
- Reopened cases of immigrants in-country registered under the Alien Registration Act
- Immigration and/or law enforcement matters
- Included in this category would be when an immigrant had their naturalization cancelled or revoked, or a citizen expatriated himself
- Included in this category would be when an immigrant applied for a Certificate of Derivative Citizenship after 31 March 1956 to prove their derivative citizenship status (but they may have been a citizen for decades at that point)

A-Files were (and still are) for *active* immigrant cases. Prior to 1 April 1956, completed naturalizations would be filed as a C-File. As such, an immigrant who had an A-File opened, say, in 1947, but then naturalized in 1953, would likely exclusively have a C-File, not an A-File. However, there are some cases, especially for individuals who naturalized between 1944 and 1950, where a C-File was created, but a non-consolidated A-File also remains.

What may be in an A-File?

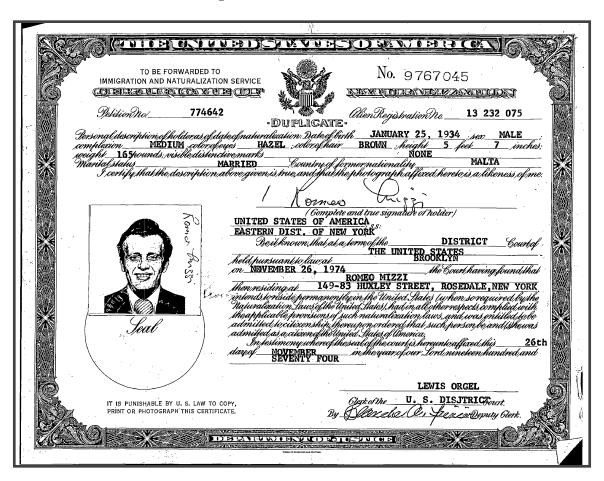
- Vital Records from home country or the US
- Visa File
- Police Reports
- Naturalization Petition
- Naturalization Certificate
- Enemy Alien Registration
- AR-2
- Tax Returns
- Photos
- Correspondence written by the immigrant

- Correspondence from employers
- Financial Information
- Arrest Records
- Deportation Records
- Military Records
- Audio Recordings
- Anything!!

Where do I find an A-number?

- The same places you find an A-number for an AR-2!
- Bottom of naturalization index card or Petition for Naturalization (after 1941/1942)
- Original alien registration receipt card
- Ancestor's other personal effects
- USCIS Index Search
- Tip: Just because an immigrant has an A-number doesn't mean they have an A-File

Examples of A-File Contents



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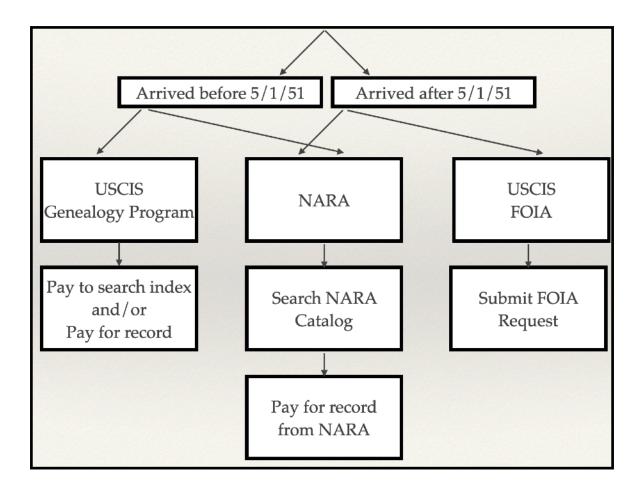
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Where are A-Files?



A-Files from the USCIS Genealogy Program

- Most A-Files of genealogical interest are likely still with the USCIS Genealogy Program (all files numbered below 8 million).
- An immigrant who was already in the U. S. in 1940 for whom an A-File was created *should* be, and would be available via the \$65 record request (+ \$65 index search, if A-number unknown).
- However, files of immigrants with a birthdate over 100 years ago may be at NARA.
- See: https://www.uscis.gov/records/genealogy/historical-record-series/a-files-numbered-below-8-million.
- There are a small number of A-Files below 8 million who pertain to people born less than 100 years ago. These will not be produced unless the requester supplies proof of death.
- Proof of death can now be uploaded in the record request process, or it can be emailed to Genealogy.USCIS@uscis.dhs.gov, along with the GEN-** case number.

• Requesters should submit proof of death of all individuals who may be mentioned in the file to lower the possibility of redactions

A-Files at the National Archives

- A-Files of immigrants with a birthdate over 100 years ago may be with the National Archives at San Francisco [San Bruno] or Kansas City. USCIS began retiring A-Files to NARA in 2009.
- NARA San Francisco holds records for some individuals whose files had their last
 actions within the jurisdiction of the Reno, San Francisco, Honolulu, and Guam INS
 District offices. Records for immigrants whose cases were closed in the rest of the
 country would be at NARA Kansas City.
- The best way to definitively determine if an A-File has been retired to NARA is by searching their Catalog: https://catalog.archives.gov. Searching by Record Group 566 will only bring up USCIS records.
- If searching by A-number, be sure to add the prefix "A" to the number. For example, search by "A2727088" and NOT "2727088".
- If an item of interest is found, a contact email is within the catalog entry. Request scans or physical copies of the records via that email address, providing the name, Anumber, and link to the catalog entry. They will send an invoice and usually provide color reproductions within a week or two.
- Note that Ancestry and FamilySearch each have databases of A-Files at NARA but they are very out of date. They should not be used, however any entry that does appear in those databases will still be at NARA.
- Note that many tens of millions of A-Files have been created, so only a small fraction are held with the National Archives. NARA receives new accessions from USCIS annually, so check back to see if your file of interest has been transferred.
- For more info, see: https://www.archives.gov/research/immigration/aliens.

A-Files via Freedom of Information Act (FOIA) / Privacy Act (PA) requests to USCIS

- One can request an A-File for an individual numbered above 8 million via a FOIA request to USCIS. For a FOIA request, the individual must be deceased, and proof of death should be provided with the request. A living individual must request his or her own file via a Privacy Act request.
- A living individual can sign for the release of their records to another individual or to be sent to himself; in either case, the living individual named in the records *must* sign the request.

- Use FIRST, USCIS' FOIA portal. Make all requests via the "Other Requests" tab: https://first.uscis.gov.
- In nearly all instances, FOIA requests for A-Files are free. If a fee needs to be levied, USCIS will inform the requestor.
- See the handout that discusses FOIA for more information.

Central Index System

- The indexing system for A-Files was initiated in 1975. From the beginning, it should have contained A-numbers above 12 million (though there are some gaps), which usually relate to individuals who arrived or whose status was adjusted after 1960. It would also include files that were opened or became active after 1975, and files that were inventoried after 2000. It is for this reason that many A-Files for immigrants born over 100 years ago are not yet with the National Archives they were likely made inactive before 1975, and have not yet been inventoried.
- A Date of Birth in an index entry as 1/1/*** (or perhaps (7/4/***) may be when an immigrant did not know his exact date of birth, or when only a year was provided. (This could also be when only an age was provided, and a year of birth was calculated.) The Date of Birth for the index was taken from the last sworn document in the file.
- The Port of Entry, when extant in an index entry, is usually the INS District Office location, not necessarily the actual port at which the immigrant arrived.
- The naturalization location and naturalization date (when extant) are usually the most misleading fields. The naturalization location usually relates to the location of the file, i.e., the INS District Office holding jurisdiction over the immigrant's place of naturalization. If the naturalization date was prior to 1 April 1956, it relates to the date of derivation of citizenship (i.e., date of parent's naturalization, date of woman's marriage, date of woman's husband's naturalization).

Further Reading

Burnes, Elizabeth and Lee, Marisa Louie, "The A-Files: Finding Your Immigrant Ancestors," *Prologue* (Spring 2013): 54-61; online archives, *The National Archives* (https://www.archives.gov/files/publications/prologue/2013/spring/a-files.pdf)

Wormser, Paul. *Documenting Immigrants: An Examination of Immigration and Naturalization Service Case Files.* Paper for CIDS Program, 2013 (elsewhere specified as 2013). Available online at https://www.archives.gov/files/research/immigration/case-files.pdf.

Thank you Rich Venezia for supplying much of the information contained in this handout.